

Attorney Docket No.: 37697-0080

Applicant(s)

Edward W. MERRILL et al.

Confirmation No.: 6478

Appl. No.:

10/696,709

Group Art Unit: 1724

Filing Date:

October 30, 2003

Examiner: Unassigned

Title:

RADIATION AND MELT TREATED ULTRA HIGH MOLECULAR

WEIGHT POLYETHYLENE PROSTHETIC DEVICES

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants believe that the instant Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits under 37 CFR §1.97(b)(3), therefore, no fee is required in connection with its filing.

English translations of the foreign-language documents may not be readily available; however, the absence of such translations does not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

November 2, 2004

Date

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| Sheet 1 of 2                                |     | (Fr.               | 187   |   |  |                          |          |                 |                       |                    |
|---|-----|--------------------|---|---|--|--------------------------|----------|-----------------|-----------------------|--------------------|
| Form PTO/SB/08A                             |     | TRADEN             | Alth  | ATTY DOC<br>37697-008   |  | SERIAL NO.<br>10/696,709 |          |                 |                       |                    |
| LIST OF REFERENCES CITED<br>BY APPLICANT(S) |     |                    | APPLICANT(S) Edward W. MERRILL et al.   |   |  |                          |          |                 |                       |                    |
| Date Submitted: November 2, 2004            |     |                    |   | FILING DATE GROUP October 30, 2003 1724                         |  |                          |          |                 |                       |                    |
|   |     |                    |   |   | OCUMENTS                                   |                          |          |                 |                       |                    |
| *EXAMINER<br>INITIAL                        |     | DOCUMENT<br>NUMBER | DATE<br>(M/D/Y)   | N.  | AME  | CLA                      | ASS      | SUBCLASS        |                       | DATE IF<br>OPRIATE |
|   | B01 | 6,786,933          | 9/7/04  | MERRILL E   | T AL.                                      | 623                      |          | 23.58           |                       |                    |
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|   |     | DOO! INSENT        |   |   | T DOCUMENT                                 |                          | 100      |                 | TDANG                 | N ATION            |
|   |     | DOCUMENT<br>NUMBER | DATE<br>(M/D/Y)   |   | JNTRY                                      | CLA                      | ASS<br>  | SUBCLASS        | TRANSLATION<br>YES NO |                    |
|   | B02 | WO94/27651         | 12/8/94   | WIPO  |  |                          |          |                 |                       |                    |
|   | B03 | WO93/10953         | 6/10/93   | WIPO  |  |                          |          |                 |                       |                    |
|   | B04 | EP0847765          | 6/17/98   | EPO   |  |                          |          |                 |                       |                    |
|   | B05 | EP1005872          | 6/7/00  | EPO   | -  |                          |          |                 |                       |                    |
|   | B06 | AU-B-64364/94      | 12/20/94  | Australia   |  |                          |          |                 |                       |                    |
|   | B07 | JP62243634         | 10/24/87  | Japan   |  |                          |          |                 | Abstract              |                    |
|   | B08 | JP59168050         | 9/21/84   | Japan   |  |                          | •        |                 | Abstract              |                    |
|   | B09 | BE1001574A6        | 12/5/89   | Belgium   |  |                          |          |                 |                       | No                 |
|   |     | OTHER DOC          | JMENT(S) (I   | ncluding Auth   | nor, Title, Date,                          | Pertine                  | nt Page  | s, Etc.)        |                       | 1                  |
|   | B10 | Bennett et al.     | 42 <sup>nd</sup> Annua  | al Meeting, O   | rthopaedic Res                             | search S                 | ociety,  | Atlanta, GA (2/ | 19-22/96)             |                    |
|   | B11 | de Boer et al.     | Polymer 2:  | 3: 1944-1952  | (1982)                                     |                          |          |                 |                       |                    |
|   | B12 | Grulke             | Polymer P   | rocess Engin  | eering, p. 419,                            | PTR Pre                  | entice H | lall (1994)     |                       |                    |
|   | B13 | Howmedica          | Overview and Fundamentals of UHMWPE, Part 1 of a Series on Ultra-High Molecular Weight Polyethylene, p. 1-8 (1994)  |   |  |                          |          |                 |                       |                    |
|   | B14 | Howmedica          | Material Properties, Product Quality Control and Their Relation to UHMWPE Performance, Part 2 of a Series on Ultra High Molecular Weight Polyethylene, p. 1-20 (1994) |   |  |                          |          |                 |                       |                    |
|   | B15 | Howmedica          | High Mole   |   | Analysis of the<br>Polyethylene, F<br>994) |                          |          |                 |                       |                    |
|   | B16 | Howmedica          | Duration S  | tabilized UHN   | MWPE, A Polye                              |                          |          |                 |                       | ation, Part        |
|   | B17 | Kamel et al.       |   |   | Polymer Physic                             |                          |          |                 |                       |                    |
|   | B18 | Lancaster          | Friction an   | Friction and Wear, Polymer Science, Chapter 14: 960-1046 (1972) |  |                          |          |                 |                       |                    |
|   | B19 | Li et al.          |   | ırnal of Bone and Joint Surgery 76-A: 1080-1090 (1994)          |  |                          |          |                 |                       |                    |
|   | B20 | Miller et al.      | Wear 28: 2  | 207-216 (197  | 4)   |                          |          |                 |                       |                    |
| EXAMINER                                    |     |                    |   |   | DATE CONSI                                 | DERED                    |          |                 |                       |                    |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Sheet 2 of 2                              |          | 1                  | <u>•</u>                              | 4                               |                  |                         |              |                   |
| Form PTO/SB/08A                           | <b>\</b> | E E E              | RADEMACH                              | ATTY DOCKET NO.<br>37697-0080   |                  | AL NO.<br><b>96,709</b> |              |                   |
| LIST OF REFERENCES CITED  BY APPLICANT(S) |          |                    | APPLICANT(S) Edward W. MERRILL et al. |                                 |                  |                         |              |                   |
| Date Submitted:                           | Octob    | per 29, 2004       |                                       | FILING DATE<br>October 30, 2004 |                  | GROUP<br>1724           |              |                   |
| _   |          |                    | 115                                   | S. PATENT DOCUMENTS             |                  | <u> </u>                |              |                   |
| *EXAMINER<br>INITIAL                      |          | DOCUMENT<br>NUMBER | DATE<br>(M/D/Y)                       | NAME                            | CLASS            | SUBCLASS                |              | DATE IF<br>PRIATE |
|   |          |                    |                                       |                                 |                  |                         |              |                   |
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|   |          | DOCUMENT<br>NUMBER | DATE<br>(M/D/Y)                       | COUNTRY                         | CLASS            | SUBCLASS                | YES          | LATION<br>NO      |
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| . 1                                       |          | OTHER DOC          | CLIMENT(S) (Ir                        | ncluding Author, Title, Date,   | Pertinent Page   | es Etc.)                |              |                   |
|   | B21      | Narkis et al.      | J. Macromo                            | ol. Sci Phys. B26(1): 37-58     | (1987)           | 55, Ltc.)               |              |                   |
| <u> </u>                                  | B22      | Qu et al.          |                                       | ed Polymer Science 48: 711      | ·                |                         |              |                   |
|   | B23      | Ratner et al.      | Abrasion of                           | f Rubber 145-154 (1967)         |                  |                         |              |                   |
|   | B24      | Rose et al.        | Biomateria                            | ls 11: 63-67 (1990)             |                  |                         |              |                   |
|   | B25      | Rosen              |                                       | tal Principles of Polymeric N   | Materials, p.40, | John Wiley & So         | ons, Inc. (1 | 993)              |
|   | B26      | Shen et al.        |                                       | 49-364 (1974)                   | 5 III 00 4       | 004 4000 (4005)         |              |                   |
|   | B27      | Shinde et al.      | J. of Polym                           | er Science: Polymer Physic      | es Edition 23: 1 | 681-1689 (1985)         |              |                   |
|   | -        |                    |                                       |                                 |                  |                         |              | -                 |
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| EXAMINER                                  |          |                    |                                       | DATE CONSI                      | DERED            |                         |              |                   |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# **Application Data Sheet**

### **Application Information**

| Application number::             | 10/696,709   |
|----------------------------------|--|
| Filing Date::                    | October 30, 2003   |
| Application Type::               | Regular  |
| Subject Matter::                 | Utility  |
| Suggested classification::       |  |
| Suggested Group Art Unit::       |  |
| CD-ROM or CD-R?::                |  |
| Number of CD disks::             | •  |
| Number of copies of CDs::        |  |
| Sequence submission?::           |  |
| Computer Readable Form (CRF)?::  |  |
| Number of copies of CRF::        |  |
| Title::                          | RADIATION AND MELT TREATED ULTRA HIGH<br>MOLECULAR WEIGHT POLYETHYLENE<br>PROSTHETIC DEVICES |
| Attorney Docket Number::         | 37697-0080   |
| Request for Early Publication?:: |  |
| Request for Non-Publication?::   |  |
| Suggested Drawing Figure::       |  |
| Total Drawing Sheets::           |  |
| Small Entity?::                  |  |
| Latin name::                     |  |
| Variety denomination name::      |  |
| Petition included?::             |  |
| Petition Type::                  |  |
| Licensed US Govt. Agency::       |  |
| Contractor Grant Numbers**       |  |

### **Correspondence Information**

| Correspondence Customer Number::        | 26633 |
|---|-------|
| Name::                                  |       |
| Street of mailing address::             |       |
|   |       |
| City of mailing address::               |       |
| State or Province of mailing address::  |       |
| Country of mailing address::            |       |
| Postal or Zip Code of mailing address:: |       |
| Phone number::                          |       |
|   |       |
|   |       |
| Fax Number:                             |       |
|   |       |
| E-Mail address::                        |       |
|   |       |

# **Representative Information**

| Representative Customer Number:: | 26633 |   |
|----------------------------------|-------|---|
|                                  |       | _ |
| - OR -                           |       |   |

| Representative Designation:: | Registration Number:: | Representative Name:: |
|------------------------------|-----------------------|-----------------------|
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